

08/13/01



JC904 U.S. PTO

Practitioner's Docket No. 782_181

NEW APPLICATION TRANSMITTAL

PATENT

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on August 13, 2001 under "EXPRESS MAIL" mailing label number EL 803163971 US.

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JC996 U.S. PTO

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08/13/01

Transmitted herewith for filing is the patent application of:

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Title: AN APPARATUS FOR FABRICATING A III-V NITRIDE FILM AND A
METHOD FOR FABRICATING THE SAME

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 12 Page(s) of Specification
- 3 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheets of Formal Drawings (Figs. 1-4)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☒ A combined Declaration and Power of Attorney
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449
- ☒ An Assignment Transmittal and Assignment of the invention to
NGK Insulators, Ltd.

2. Additional Papers to Follow Under Separate Cover

- ☒ A Claim for Priority and a certified copy of 2000-264,934, 2000-293,596, and 2001-207,785 filed September 1, 2000, September 27, 2000, and July 9, 2001, respectively in Japan

3. The correspondence address for this application is:

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4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. Small Entity Status

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

- A. Filing Fee
☒ Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
- B. Fees for Claims (18 Claims; 3 Indep.)
☐ each independent claim in excess of 3 \$.00
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00)
- ☐ each claim in excess of 20 \$.00
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)
- ☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00)
- C. Surcharge fees
☐ late payment of filing fee \$.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

and/or

- ☐ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).

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- D. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$_____.00
(37 C.F.R. 1.17(h) and 1.47--\$130.00)
- E. ☐ Fee for processing an application filed with a specification in a non-English language \$_____.00
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)
- F. ☒ Assignment Fee \$ 40.00
- Total Fees Due \$ 750.00**

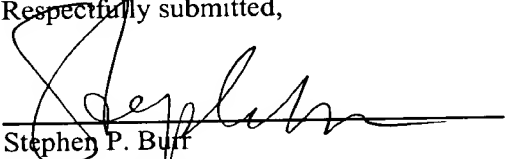
7. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- ☒ A check in the amount of \$750.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

August 13, 2001

Date


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